

DEC 21 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of

Kazuo Tanaka et al.

Serial No.: 09/964,863

Group Art Unit: 2812

Filing Date: September 28, 2001

Examiner: Unknown

For: TEMPERATURE CONTROLLING METHOD, THERMAL TREATING APPARATUS,  
AND METHOD OF MANUFACTURING SEMICONDUCTOR DEVICE

Assistant Commissioner of Patents  
Washington, D.C. 20231

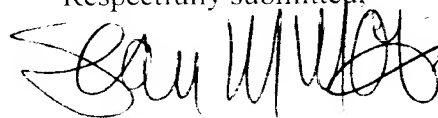
**SUBMISSION OF DECLARATION**

Sir:

In response to the Notice to File Missing Parts of Application dated October 26, 2001 (copy attached), submitted herewith is the signed declaration for the above-identified patent application, along with our check in the amount of \$960 to cover the \$710 application filing fee, excess claim fee of \$80 the \$130 surcharge for the late filing of the declaration, and \$40 for the assignment recordation fee.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

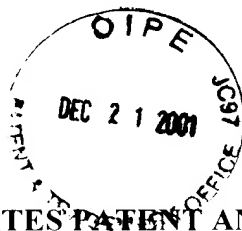
Respectfully submitted,



Sean M. McGinn  
Registration No. 34,386

Date: 12/21/01  
McGinn & Gibb, PLLC  
8321 Old Courthouse Road, Suite 200  
Vienna, VA 22182-3817  
(703) 761-4100  
Customer No. 21254

Docket No. KOKUSAI 076



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Assistant Commissioner of Patents  
Washington, D.C. 20231

**SUBMISSION OF ASSIGNMENT**

Sir:

Kindly record and return to the undersigned the attached Assignment from Kazuo Tanaka et al. to Hitachi Kokusai Electric, Inc., for the above-identified patent application. The recording fee \$40.00 is included in the total fee for filing the Missing Parts for the above-identified application, a check for which accompanies this submission.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Sean M. McGinn".

Sean M. McGinn  
Registration No. 34,386

Date: 12/21/01  
McGinn & Gibb, PLLC  
Intellectual Property Law  
8321 Old Courthouse Road, Suite 200  
Vienna, VA 22182-3817  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAME OF APPLICANT	APPLICATION NUMBER
09/964,863	09/28/2001	Kazuo Tanaka	KOKUSAI 076

21254  
MCGINN & GIBB, PLLC  
8321 OLD COURTHOUSE ROAD  
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VIENNA, VA 22182-3817



CONFIRMATION NO. 2372

## FORMALITIES LETTER



\*OC000000006979225\*

Date Mailed: 10/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Adjustment date: 08/10/2001  
12/26/2001 SFELEKE1 0  
03 FC:102

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$80.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

Adjustment date: 08/10/2001  
12/26/2001 SFELEKE1 08000107  
02 FC:101  
04 FC:105

34.00 DR

-740.

A copy of this notice MUST be returned with the reply.

710.  
80.  
130.

Customer Service Center  
Initial Patent Examination Division (703) 368-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

TEKUBREI

00 FC:101  
02 FC:102  
03 FC:105